

Notice of meeting of

Audit & Governance Committee

To:	Councillors B Watson (Chair), Brooks (Vice-Chair), Bowgett, Jamieson-Ball, Scott, Kirk and R Watson
Date:	Monday, 21 September 2009
Time:	5.30 pm
Venue:	The Guildhall, York

AGENDA

Note:

As agreed at previous meetings, the Chief Internal Auditor and District Auditor (Audit Commission) will be present in the meeting room from 5:00 pm to provide a private briefing for Members, if required.

1. Declarations of Interest

At this point Members are asked to declare any personal or prejudicial interests they may have in the business on this agenda.

2. Exclusion of Press and Public

To consider the exclusion of the press and public from the meeting during consideration of the following:

Agenda Item 13 on the grounds that it contains information relating to an individual. This information is classed as exempt under paragraphs 1 and 3 of Schedule 12A to Section 100A of the Local Government Act 1972 (as revised by The Local Government (Access to Information) (Variation) Order 2006).

3. Minutes (Pages 3 - 14)

To approve and sign the minutes of the meeting of the Audit & Governance Committee held on 29 June 2009.

4. Public Participation

At this point in the meeting, members of the public who have registered their wish to speak regarding an item on the agenda or an issue within the Committee's remit can do so. The deadline for registering is Friday, 18 September at 5:00 pm.

5. Audit and Governance Committee Forward Plan 2009 (Pages 15 - 20)

This report presents the future plan of reports expected to be presented to the Committee during 2009/10.

6. Annual Governance Report - Audit Commission (Pages 21 - 62)

This report presents the Annual Governance Report of the External Auditor in respect of the 2008/09 audit year, for members' consideration and approval of recommendations in relation to the Council's 2008/09 Statement of Accounts. **[Report published with this agenda on 16/9/09; revised version of Annex A published on 21/9/09].**

7. Use of Resources 2008/09: Audit Commission Report (Pages 63 - 86)

This paper introduces a report (see annex) prepared by the Audit Commission summarising the findings from the Use of Resources Assessment relating to the financial year 2008/09 which is now operating within the Comprehensive Area Assessment (CAA) framework. **[Report published with this agenda on 15/9/09].**

8. Grant Claim Certification Report 2007/08 - Audit Commission (Pages 87 - 108)

The purpose of this report is to allow consideration of the Grant Claim Certification Report 2007/08 produced by the Audit Commission (Annex 1). The report reviews the Council's arrangements for the preparation and administration of grant and subsidy claims within the council.

9. External Funding/Grant Guidance Manual (Pages 109 - 124)

The purpose of this paper is to present to Audit and Governance Committee (A&G) for discussion and comment, the draft External Funding/Grant Guidance Manual, which will form part of the supplementary guidance to the Financial regulations.

10. International Financial Reporting Standards (Pages 125 - 132)

The purpose of this report is to inform members of the implications of the pending introduction of International Financial Reporting Standards (IFRS) and the change in financial reporting from UK General Accepted Accounting Practice (GAAP).

11. Regulation of Investigatory Powers (RIPA) Inspection Outcomes and Actions (Pages 133 - 138)

This report advises Members of the outcome of Surveillance Commissioners inspection in June 2009 and the proposed response to the Inspectors recommendations. It also informs Members of possible changes that might affect the legislation and its use.

12. Key Corporate Risk Monitor - Quarter 2 2009/10 (Pages 139 - 148)

The purpose of this paper is to provide Audit & Governance Committee (A&G) with the quarter two risk monitor for 2009/10 .

13. Mansion House Security and Insurance (Pages 149 - 166)

This report sets out a number of issues relating to the physical and electronic security of the Mansion House and the Mansion House/Guildhall Yard. It also identifies where those issues impact upon people, i.e. staff/Civic Party/visitors and sets out some proposed improvements, including suggested revisions to insurance arrangements.

14. The Introduction of a Corporate Approach to Handling Petitions and e-Petitions (Pages 167 - 180)

Further to a request from the Audit and Governance Committee in March 2009, this report details how petitions are currently being dealt with across the Council, sets out a corporate approach for

handling those petitions and proposes the introduction of e-petitioning, i.e. by the public via the internet, in line with legislative changes.

15. Proposal for Constitutional Amendment: Removal of the Shadow Executive from the Formal Decision Making Framework (Pages 181 - 186)

This report outlines a proposal put forward by Cllr Gillies, under the provisions of Article 16 of the Council's Constitution, to amend the Constitution so as to remove the Shadow Executive from the Council's formal decision making framework.

16. The Local Government Ombudsman's Annual Review Year Ending 31 March 2009 (Pages 187 - 204)

This report asks Members to note the information highlighted in the recently received Annual Review from the Local Government Ombudsman, which sets out data on complaints against City of York Council that have been processed during the 12 Months up to 31st March 2009.

17. Review of the Audit & Governance Committee's Terms of Reference (Articles & Functions) (Pages 205 - 214)

This paper asks Audit and Governance members to consider the addition of an independent adviser to the Committee as part of a review of the Committees Terms of reference (TOR) as contained in the Council's Constitution.

18. Urgent Business

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

Name: Fiona Young

Contact details:

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.